

Harmonic Brain Modes: A Unifying Framework for Linking Space and Time in Brain Dynamics

The Neuroscientist
2018, Vol. 24(3) 277–293
© The Author(s) 2017
Reprints and permissions:
sagepub.com/journalsPermissions.nav
DOI: 10.1177/1073858417728032
journals.sagepub.com/home/nro

Selen Atasoy¹, Gustavo Deco^{1,2,3,4}, Morten L. Kringelbach^{5,6}, and Joel Pearson⁷

Abstract

A fundamental characteristic of spontaneous brain activity is coherent oscillations covering a wide range of frequencies. Interestingly, these temporal oscillations are highly correlated among spatially distributed cortical areas forming structured correlation patterns known as the resting state networks, although the brain is never truly at “rest.” Here, we introduce the concept of *harmonic brain modes*—fundamental building blocks of complex spatiotemporal patterns of neural activity. We define these elementary harmonic brain modes as harmonic modes of structural connectivity; that is, connectome harmonics, yielding fully synchronous neural activity patterns with different frequency oscillations emerging on and constrained by the particular structure of the brain. Hence, this particular definition implicitly links the hitherto poorly understood dimensions of space and time in brain dynamics and its underlying anatomy. Further we show how harmonic brain modes can explain the relationship between neurophysiological, temporal, and network-level changes in the brain across different mental states (*wakefulness, sleep, anesthesia, psychedelic*). Notably, when decoded as activation of connectome harmonics, spatial and temporal characteristics of neural activity naturally emerge from the interplay between excitation and inhibition and this critical relation fits the spatial, temporal, and neurophysiological changes associated with different mental states. Thus, the introduced framework of harmonic brain modes not only establishes a relation between the spatial structure of correlation patterns and temporal oscillations (linking space and time in brain dynamics), but also enables a new dimension of tools for understanding fundamental principles underlying brain dynamics in different states of consciousness.

Keywords

resting state networks, neural oscillations, brain dynamics, connectome harmonics, sleep, anesthesia, psychedelics, consciousness, unifying framework

Introduction

Science aims to identify certain laws, rules, or fundamental principles, which are consistently observed among interacting elements in natural phenomena, and to express them with mathematical equations. When it comes to understanding the brain, however, neuroscience is yet to discover a fundamental principle linking the brain's *structure, function, and subjective experience*.

So far, the most studied fundamental characteristic of mammalian brain activity is coherent oscillations covering a wide range of frequency bands ranging from 0.05 to 500 Hz (Buzsaki 2004). Furthermore, it has also been long known that various states of consciousness, such as awake state, sleep and anesthesia are accompanied by changes in the frequency and amplitude of these oscillations; for example, the low-amplitude high-frequency alpha oscillations mainly observed in conscious awake state turn into low-frequency high-amplitude delta waves in deep sleep

stages (Steriade and others 1993). However, recent studies indicate that the relation between states of consciousness and changes in neural oscillations are more complex than

¹Center of Brain and Cognition, Universitat Pompeu Fabra, Barcelona, Spain

²Institució Catalana de Recerca i Estudis Avançats (ICREA), Barcelona, Spain

³Department of Neuropsychology, Max Planck Institute for Human Cognitive and Brain Sciences, Leipzig, Germany

⁴School of Psychological Sciences, Monash University, Melbourne, Victoria, Australia

⁵Department of Psychiatry, University of Oxford, Oxford, UK

⁶Center for Music in the Brain, Aarhus University, Aarhus, Denmark

⁷School of Psychology, University of New South Wales, Sydney, New South Wales, Australia

Corresponding Author:

Selen Atasoy, Center of Brain and Cognition, Universitat Pompeu Fabra, Carrer de Ramon Trias Fargas, 25-27, Barcelona, 08002, Spain.
Email: selenatasoy@gmail.com

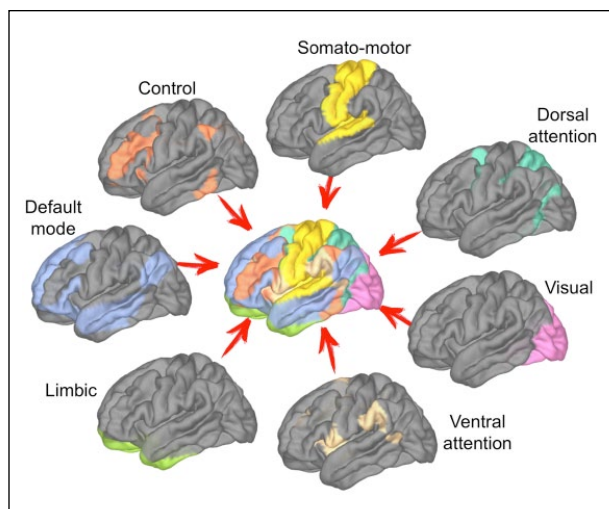


Figure 1. Resting state networks (RSNs) of the human brain overlap with the functional networks identified with various task-based cognitive paradigms. The image was reproduced using the networks published in (Yeo and others 2011).

a simple frequency-state relation (Massimini and others 2005; Purdon and others 2013). Crucially, changes in the state of consciousness, induced by sleep (Boly and others 2012b; Buckner and others 2013; Massimini and others 2005; Tononi and Koch 2008), anesthesia (Alkire and others 2008; Boly and others 2012b; Boveroux and others 2010; Deco and others 2009; Deco and others 2011; Deco and others 2013b; Horovitz and others 2009; Purdon and others 2013; Tononi and Koch 2008) or psychedelics (Carhart-Harris and others 2012a; Carhart-Harris and others 2016b; Tagliazucchi and others 2014; Tagliazucchi and others 2016), are accompanied by rather widespread changes in the coherent oscillations throughout the cortex (Jobst and others 2017).

A decade ago, a remarkable discovery revealed that temporal oscillations in spontaneous activity during awake resting-state, in fact exhibit highly structured correlation patterns among spatially distributed cortical regions (Biswal and others 1995; Damoiseaux and others 2006; Deco and Corbetta 2011; Fox and others 2005). This discovery created a paradigm shift in the neuroscience community from using task-based paradigms to studying spontaneous neural activity in the resting-state (Fox and Raichle 2007). Notably, the topography of the observed correlation patterns, termed *resting state networks* (RSNs) (Boly and others 2012a; Boly and others 2012b; Deco and others 2011; Fox and others 2005; Fox and Raichle 2007; Stewart 1999), closely resembles the functional networks of the human brain identified by various sensory, motor, and cognitive tasks (Barttfeld and others 2015; Buckner and others 2013; Chladni 1830; Deco and others 2011) (see Fig. 1). Although the overlap

of the RSNs with functional networks of the human brain indicate their relevance for active cognitive processes, correlated low-frequency fluctuations of blood oxygen level-dependent (BOLD) signal have been observed under a wide range of physiological conditions, including awake state (Biswal and others 1995; Fransson 2005), light sleep (Horovitz and others 2008; Larson-Prior and others 2009), anesthetized humans (Greicius and others 2008) and anesthetized non-human primates (Vincent and others 2007), introducing an apparent paradox regarding RSNs relationship to conscious awareness.

Crucially, a solution to this paradox and a full appreciation of RSNs' role for brain function and subjective mental states requires an understanding of how they are linked to (1) *temporal oscillations*—a fundamental characteristic of mammalian brain activity (Buzsaki 2004), (2) *human connectome*—the particular structural connectivity of the human brain (DeFelipe 2010), and (3) *neurophysiology*—the interplay between neural excitation and inhibition. Although current developments in structural and functional neuroimaging, combined with computational models (Cabral and others 2017; Deco and others 2009; Honey and others 2009; Deco and Kringelbach 2014) have shed light on the links between the RSNs, brain anatomy, and neurophysiology, integrating the dimensions of space and time in neural dynamics and discovering their relation to subjective mental states remains one of the biggest challenges in neuroscience.

A critical starting point in this endeavor is to identify possible elementary brain modes—the macroscale building blocks of neural activity—composing the complex spatiotemporal patterns that we measure in functional neuroimaging. Just like the different combinations of chemical basis in DNA comprise the fundamental elements of different genes or elementary particles form the building blocks of various atoms and molecules, here we introduce the concept of *harmonic brain modes*, as the fundamental building blocks of complex spatiotemporal neural activity patterns.

In this review article, we show how existing findings, on a network-level, temporal and neurophysiological correlates of various *mental states* (*waking consciousness, sleep, anesthesia, psychedelic states*) can be unified and understood within one simple mathematical framework that decodes brain activity as a combination of harmonic brain modes. We show how the harmonic brain modes can be (pre)determined as the harmonic modes of the structural connectivity yielding fully synchronous neural activity patterns with different frequency oscillations (Atasoy and others 2016). We describe the evidence in favor of the characterization of spontaneous activity in various mental states in terms of these harmonic brain modes. Furthermore, we relate the mathematical framework of these elementary brain modes to the fundamental

principle of harmonic patterns ubiquitous in nature—emerging in physical, as well as biological phenomena ranging from acoustics, optics, electromagnetic interactions to morphogenesis.

Mental States

The relation between brain and mind remains one of the outstanding questions in science (Crick and Koch 2003). How does our subjective mental experience relate to our neural activity? In this review, we first identify different mental states based on their key characteristics in terms of subjective experience and later review the neural correlates of these mental states in terms of temporal, spatial, and neurophysiological variations in neural activity.

Consciousness although most familiar and intimate to all of us, has been rather ambiguously defined as a scientific subject (Heine and others 2012; Zeman 2001). Within the scope of this review, we define consciousness as the mental state that is accompanied by a stream of personal and subjective experiences. Besides during wakefulness, a stream of vivid experiences can also occur in dreaming, meditative states, and drug-induced altered states of consciousness. Hence, we consider these states as non-ordinary states of consciousness.

Among various pharmacological substances, a particular class called psychedelics; that is, psilocybin—the main psychoactive compound in magic mushrooms, lysergic acid diethylamide (LSD), 3,4-methylenedioxymethamphetamine (MDMA), ketamine, mescaline, and ayahuasca, stand out with their ability to alter consciousness and cognition by introducing an unusual richness to the conscious experience (Carhart-Harris and others 2012a; Carhart-Harris and others 2014a; Kaelen and others 2015). Because of this enhancement of the conscious experience, the *psychedelic state* is considered to be an “expanded” or “elevated” state of consciousness (Schartner and others 2017). A growing body of research is now directed toward understanding the neural markers (Carhart-Harris and others 2012a; Carhart-Harris and others 2016b; Palhano-Fontes and others 2015) and mechanisms (Carhart-Harris and others 2014b) underlying such enhanced and flexible state of consciousness as well as its therapeutic potential for neuropsychiatric disorders (Carhart-Harris and others 2016a).

Unlike waking consciousness and psychedelic states, *sleep state* can be accompanied by both, absence and presence of conscious experience (Siclari and others 2017). Dream state, where a stream of vivid experiences that can be recalled on awakening occur, composes the conscious experience in the sleep state, whereas deep sleep in the absence of any dream experience is associated with loss of consciousness. Traditionally, sleep is categorized into two main components, rapid eye movement (REM) sleep and

non-REM (NREM) sleep, where NREM sleep can be further divided into four stages (N1, N2, N3, and N4) (Rechtschaffen and Kales 1968). Generally, N1 and N2 are characterized as light sleep, whereas N3 and N4 compose the slow-wave/deep sleep stages.

Loss of consciousness induced by sleep or anaesthesia is a gradual phenomenon (Deco and others 2013a) characterized by progressive disengagement from the external world (Deco and others 2013a; Larson-Prior and others 2009) as well as by reduced self-awareness (Sämann and others 2011) followed by a sudden disappearance of both, awareness of the external world as well as the self. A state with the loss of awareness of the self and the environment, induced physiologically (e.g., sleep) or pharmacologically (e.g., anesthesia) is considered not conscious. Although various other mental states caused by disorders of consciousness such as vegetative and comatose states, psychosis, epileptic seizures can also be identified and individually characterized, in this review we focus on the neural correlates of *wakefulness*; *psychedelic state* (an enhanced state of consciousness) and physiological as well as pharmacological loss of consciousness due to *sleep* and *anesthesia*.

Neural Correlates of Mental States

Time: Oscillatory Correlates of Mental States

It has long been known that the amplitude and the frequency of the neural oscillations are altered in different mental states. After the first discovery of low-amplitude, “desynchronized” alpha waves (8-12 Hz) in electroencephalography (EEG) recordings of conscious wakeful humans (Berger 1929), various oscillatory patterns have been identified as the neural correlates of different mental states. In loss of consciousness such as in deep sleep state, although the mean neuronal firing rate is close to those that occur during quiet wakefulness (Massimini and others 2005), a transition occurs from the low-amplitude, high-frequency alpha oscillations (8-12 Hz) to high-amplitude, low-frequency delta waves (0.5-4 Hz) (Simon and Emmons 1956). Moreover, the two sleep stages, REM and NREM have also substantially different temporal signatures in EEG; NREM is mainly accompanied by slow waves and sleep spindles, whereas REM sleep is characterized by the wakefulness-like, low-amplitude, high-frequency oscillations. The temporal correlates of dreaming have also been found to closely resemble that of waking conscious state; that is, globally activated, high-frequency EEG activity. Initially, dreams have been thought to occur only in the REM sleep. However, recent studies have discovered that a local decrease in low-frequency EEG activity in the posterior cortex is associated with reports of dream experiences on awakening during

both NREM and REM sleep, whereas a local increase in low frequency activity correlates with the absence of experience (Siclari and others 2017). These findings indicate that not only the temporal changes but also spatially localized changes in temporal activity can characterize presence and absence of conscious experience in the sleep state.

Box 1. Glossary.

Mental state: State of conscious awareness; e.g., conscious waking state, psychedelic state, sleep states (REM and NREM consisting of S1, S2, S3, and S4), anesthesia-induced loss of consciousness.

Loss of consciousness: Loss of conscious awareness of one's surrounding and the self. As the sense of self persists in, e.g., during REM sleep and dreaming, the loss of consciousness according to this definition occurs in deep sleep and during anesthesia.

Neural correlates of a mental state: Spatiotemporal patterns of neural activity accompanying different mental states.

Temporal correlates of a mental state: Different frequency and amplitude temporal oscillations measured with different functional neuroimaging techniques such as functional magnetic resonance imaging (fMRI), EEG, and magnetoencephalography (MEG).

Network correlates of a mental state: Spatial patterns of correlated (synchronized) activity emerging on the cortex during a particular mental state.

Structural connectivity: Structural interconnections between brain regions. Development of non-invasive in vivo imaging techniques such as the diffusion tensor imaging (DTI) allowed for tracing the interconnections between brain regions revealing a macroscale structural mapping termed *human connectome*.

Functional connectivity: Spatial patterns of correlated activity among spatially distributed parts of the cortex. Spontaneous oscillations of brain activity in resting state exhibit distinct correlation patterns, referred to as functional connectivity patterns.

Resting state networks (RSNs): Network of cortical areas exhibiting correlated spontaneous oscillations in the resting state.

Functional networks: Network of brain areas showing increased activity during a particular cognitive function. These networks have been identified using various task-based paradigms. The major functional networks of the human brain consist of high level cognitive networks such as dorsal attention network, ventral attention network, control network as well as low-level sensory networks such as visual network, auditory network, and somatosensory-motor network.

Default mode network: Network of brain areas consisting of consists of the medial prefrontal cortex (mPFC), posterior cingulate cortex (PCC)/precuneus (PCu), inferior parietal lobe, lateral temporal cortex, and hippocampal

Box 1. (continued)

formation, which shows increased activity in the absence of any cognitive task and decreased activity during a task performance.

Brain dynamics: Spatiotemporal evolution of brain activity. Describing brain dynamics involves describing the temporal evolution of spatial patterns of neural activity.

Neural field models: Equations governing the spatiotemporal patterns of excitatory and inhibitory neural activity in terms of average synaptic or firing rate of populations of neurons. In their generic form, these models consider the neuronal dynamics to play out on a spatially extended cortical sheet, i.e. a neural field. The most commonly used neural field equations are Wilson-Cowan equations (Wilson and Cowan 1973) expressing the evolution of neural excitatory and inhibitory neural activity as a set of coupled differential equations, which govern the coupling of the two types, excitatory and inhibitory populations.

Similarly, neural correlates of anesthesia-induced loss of consciousness are not only limited to temporal changes in neural oscillations. Recent studies discovered that propofol-induced loss of consciousness was accompanied by the loss of spatially coherent occipital alpha oscillations, and simultaneously by the appearance of spatially coherent frontal alpha oscillations (Purdon and others 2013). Other EEG studies focusing on evoked cortical responses found that cortical activity patterns after transcranial magnetic stimulation (TMS) during loss of consciousness were spatially more localized compared to the cortical response in a waking conscious state, indicating a reduced integration of cortical activity (Casali and others 2013) and eventually a breakdown of cortical connectivity in loss of consciousness (Massimini and others 2005).

In the psychedelic state, decreased oscillatory power has been observed in the alpha band (8-13 Hz) in EEG (Schenberg and others 2015) after ayahuasca intake; within low-frequency range (0.01-0.1 Hz) in functional magnetic resonance imaging (fMRI; Tagliazucchi and others 2014) and within the 8- to 100-Hz frequency band in magnetoencephalography (MEG) after psilocybin administration (Muthukumaraswamy and others 2013). Psychedelic state induced by another prototypical psychedelic, LSD, has been reported to cause reduced oscillatory power in four different frequency bands delta (1-4 Hz), theta (4-8 Hz), alpha (8-15 Hz), and beta (15-30 Hz) in MEG and lead to globally increased functional connectivity (Carhart-Harris and others 2016b; Tagliazucchi and others 2016), especially within high-level association cortices (Tagliazucchi and others 2016) and within spatially distributed cortical areas composing particular resting state networks.

Taken together, these findings converge to suggest that it is not only the frequency and amplitude of neural

(continued)

oscillations that is altered during a change in mental state but also the *spatiotemporal* patterns and the *dynamics* of cortical activity.

Space: Network Correlates of Mental States

The remarkable discovery that temporal oscillations in spontaneous brain activity exhibit strong correlations within widely distributed cortical regions revealed highly structured correlation patterns in the absence of any task or behaviour (Fox and others 2005; Fox and Raichle 2007). Notably, the topography of these correlation patterns, termed the *resting state networks* (RSNs), closely resembles the functional networks of the human brain identified by various sensory, motor, and cognitive paradigms (Fox and others 2005; Fox and Raichle 2007) (Fig. 1).

Initially discovered in spontaneous slow (<0.1 Hz) fluctuations of the BOLD signal measured with fMRI (Fox and others 2005), these RSNs have been recently also identified using MEG (de Pasquale and others 2010; de Pasquale and others 2012; Spadone and others 2012) and found to correlate with the time courses of electroencephalography (EEG) microstates, that is, global brain states occurring in discrete epochs of about 100 ms (Britz and others 2010; Musso and others 2010), suggesting the RSNs' neuronal origin and relation to temporal oscillations.

Furthermore, even though the correlated activity has been found to transcend levels of consciousness (Fransson 2005; Fukunaga and others 2006; Horovitz and others 2008; Larson-Prior and others 2009; Vincent and others 2007), recent studies reveal a reduction in the strength of correlations in certain networks and substantial changes in the anatomical configuration (coupling of certain cortical areas) of these networks in loss of consciousness (Boveroux and others 2010; Deco and others 2013a; Greicius and others 2008; Horovitz and others 2009; Martuzzi and others 2010; Sämann and others 2011; Schrouff and others 2011; Stamatakis and others 2010).

In particular, one network of brain regions, termed *default mode network* (DMN), whose activity actually increases in the absence of cognitively demanding tasks (Buckner and others 2008; Fox and Raichle 2007), has been of particular interest due to its association with conscious, internally mediated cognitive processes, such as self-referential behavior, moral reasoning, recollection, and imagining the future (Buckner and others 2008; Raichle and Snyder 2007; Raichle and others 2001). However, the association of the DMN with the waking state of consciousness has been contrasted by the surprising discovery of DMN's equivalent in anesthetized monkeys (Vincent and others 2007).

Vincent and colleagues discovered that the correlated activity within the DMN, particularly between the posterior cingulate and precuneus cortex (pC-PCC) and lateral

temporoparietal components of DMN persisted in anesthetized monkeys (Vincent and others 2007). A following study reported that although the functional connectivity of the DMN persisted in conscious sedation in humans, connectivity of the posterior cingulate cortex (PCC), that is also a part of the DMN was significantly reduced during sedation (Greicius and others 2008). Similarly, Stamatakis and others found that in propofol-induced moderate sedation in humans, the preserved DMN connectivity was accompanied by significant changes in PCC connectivity, especially in the emergence of the inter-areal connections between PCC and the somatomotor cortex, the anterior thalamic nuclei and the reticular activating system (Stamatakis and others 2010). Martuzzi and others reported that although the general functional connectivity in DMN did not significantly differ between awake and anesthetized humans, anesthesia modulated the strength of functional connectivity in a network-specific manner; that is, while connectivity of primary sensory cortices slightly increased, reduced connectivity was observed in high-order association areas (hippocampus and insula) (Martuzzi and others 2010). Furthermore, propofol-induced decreases in consciousness linearly correlate with decreased connectivity in the DMN and executive control network—which is usually anticorrelated to the DMN (Boveroux and others 2010). The spatial extent of these anticorrelations greatly diminished during clinical unconsciousness, due to deep sedation (Barttfeld and others 2015; Boveroux and others 2010). During propofol-induced loss of consciousness, Schrouff and others have found reduced integration (estimated by entropy-based hierarchical clustering) within each functional network as well as between networks (Schrouff and others 2011). Functional connectivity was particularly affected between parietal areas and frontal or temporal regions during deep sedation induced by propofol (Schrouff and others 2011). These findings indicate that although the DMN was detectable under anesthesia, loss of consciousness was associated with widespread changes in functional connectivity patterns throughout the thalamocortical system, in particular, changes in higher order frontoparietal associative network as well as DMN connectivity seem to play a major role in the different states of consciousness.

Interestingly, activity observed during anesthesia and light sedation (Stamatakis and others 2010) also shows intriguing similarities with slow-wave sleep (Horovitz and others 2009), suggesting shared neural mechanisms underlying the fading of consciousness due to sedation and NREM sleep (Brown and others 2011). Moreover, in line with the findings on anesthesia-induced connectivity changes, fMRI studies focusing on the connectivity changes in RSNs during sleep also reported persistent functional connectivity in DMN during light sleep

(Horovitz and others 2008). Early fMRI studies comparing light sleep stages to wakefulness (Fukunaga and others 2006; Larson-Prior and others 2009) reported no significant changes in the correlation patterns indicating that the RSNs are maintained during light sleep (Larson-Prior and others 2009). Subsequent studies reported that functional connectivity not only persisted in the DMN, but in five RSNs including sensory networks (visual, auditory, and somatomotor) as well as cognitive networks (dorsal attention, default mode, executive control) networks during light (stage 2) sleep (Larson-Prior and others 2009). However, later studies with more detailed analysis revealed substantial changes in the coupling of cortical areas in different stages of sleep: Using seed-based correlation analysis, Horovitz and others report a functional decoupling of the frontal cortex from the rest of the DMN components indicated by significant decrease of the strength of correlations in deep sleep (stages 2-4), although the local coherence of spontaneous activity persisted within each individual brain region composing the DMN (Horovitz and others 2009). These findings were further confirmed by the independent component analysis (ICA) approach of Sämann and others reporting a stepwise decrease and eventual *decoupling of the frontal cortex (medial prefrontal cortex-mPFC) from the DMN with increasing sleep depth* in NREM sleep (Sämann and others 2011). Apart from the decoupling between the posterior and anterior midline nodes of the DMN, the authors also observed a breakdown of the corticocortical functional connectivity between the DMN and its anti-correlated network, which are associated with internal and external awareness during wakefulness. Considering a decomposition of the DMN components into a core and subsystem,¹ Koike and others find persistent connectivity in DMN core regions in light and deep NREM sleep as well as REM sleep, whereas substantial changes in the functional connectivity in DMN subsystems have been observed between REM and NREM sleep stages (Koike and others 2011). Using entropy-based hierarchical clustering, Boly and others find a profound modification of the hierarchical organization of large-scale networks into smaller independent modules in NREM sleep (Boly and others 2012b). In particular, the authors report an increase in the interactions within each network in comparison to the interactions between different networks as well as in the total brain integration in NREM sleep compared with wakefulness (Boly and others 2012b).

Taken together, these studies indicate that, although there is not a binary absence/presence relation between the functional connectivity of the RSNs and consciousness, the integrity of the DMN is dynamically modulated by the level of consciousness. Crucially, while earlier studies suggested that the DMN is preserved in early stages of sleep (Horovitz and others 2008), in-depth

analysis revealed substantial changes in the coupling of cortical areas and altered correlation between DMN components in deeper stages of sleep (Boly and others 2012b; Horovitz and others 2009; Sämann and others 2011). In particular, a reduced involvement and eventual decoupling of frontal cortex from the DMN was observed with increasingly deeper stages of sleep (Horovitz and others 2009; Sämann and others 2011).

Interestingly, decreased functional connectivity between the anterior-posterior nodes of the DMN (mPFC and PCC) has also been reported in psilocybin-induced psychedelic state (Carhart-Harris and others 2012a). This reduced within-network functional connectivity observed in the psychedelic state, was found to be accompanied by increased between-RSN functional connectivity (Roseman and others 2014). In particular, significantly increased functional connectivity between DMN and its anti-correlated network termed the task positive network (TPN) has been found in psilocybin-induced psychedelic state (Carhart-Harris and others 2012b). Considering DMN's correlation with internally mediated processes and TPN's relation to externally focused attention, this increased DMN-TPN connectivity has been hypothesized to underlie the inability to distinguish between one's internal world and external environment as experienced during psychosis (Carhart-Harris and others 2012b). Another prototypical psychedelic, LSD, has been found to lead to globally increased functional connectivity (Carhart-Harris and others 2016b; Tagliazucchi and others 2016), especially within high-level association cortices overlapping with the default-mode, salience, and fronto-parietal attention networks as well as in the thalamus (Tagliazucchi and others 2016). Particularly, within the DMN, reduced oscillatory power has been observed with MEG (Muthukumaraswamy and others 2013) and fMRI signals after psilocybin administration (Tagliazucchi and others 2014); and in the MEG-alpha frequencies (Carhart-Harris and others 2016b) in the LSD state, where this reduced power has been found to correlate with the experience of ego dissolution, for example, the dissolution of the subjective self (Carhart-Harris and others 2016b). Interestingly, a connectome harmonic decomposition, as we discuss here, when applied to fMRI data in the LSD state reveals a frequency-selective expansion and dynamical reorganization of the repertoire of these harmonic brain states at the edge of criticality—transition between order and chaos (Atasoy and others 2017).

Furthermore, a greater diversity in the repertoire of the functional connectivity patterns in fMRI was observed in the brain under psilocybin together with an increase in the rate at which this repertoire is examined by the brain dynamics (Tagliazucchi and others 2014). In line with these findings, significant changes in the repertoire of functional connectivity patterns has also been found

between wakefulness and anesthesia-induced loss of consciousness. When analyzed in terms of brain states defined as dominant recurrent patterns of functional connectivity, wakefulness is characterized by the dynamical exploration of a rich, flexible repertoire of brain states compared to anesthesia-induced loss of consciousness (Barttfeld and others 2015). This opposite trend of enhanced vs. reduced diversity of the functional connectivity patterns observed in psychedelic state versus anesthesia-induced loss of consciousness points out the significance of the dynamical repertoire of brain states as signatures of different mental states (Atasoy and others 2017).

These experimental findings are also in agreement with the theoretical propositions stating conscious phenomenology is related to a distributed neural process that is both highly integrated and highly differentiated (Tononi and Edelman 1998) indicating the need for a large repertoire of highly differentiated brain states. In fact, dynamical systems such as the brain maximize their state repertoire when they approach criticality; that is, transition between order and chaos, which has also been proposed to be the neural mechanism underlying conscious wakefulness as well as psychedelic experience (Carhart-Harris and others 2014b). Recently, it has also been pointed out that different mental states may be understood as variations of neural activity along multiple dimensions, instead of just one dimension (variable) (Bayne and others 2016), hence, rendering the attempt of modelling mental states (or states of consciousness) in one dimensional terms not plausible (Bayne and others 2016).

Here, we introduce a framework for understanding the notion that changes in mental states relate to variations of neural activity along multiple dimensions, instead of just one dimension, which suggests that these various dimensions, may in fact correspond to different harmonic brain modes or different combinations of these harmonic modes. We also show how these harmonic brain modes naturally self-organize from neurophysiological interactions.

Neurophysiological Correlates of Mental States

The spatiotemporal patterns of cortical activity at the whole-brain level are thought to emerge from the *local cortical dynamics* and *corticocortical interactions* constrained by the anatomical connectivity of the human brain. The local cortical dynamics involve the interplay of excitation; for instance, mediated by glutamatergic principal cells, and inhibition; for instance, mediated by γ -aminobutyric acid (GABA)ergic interneurons (Isaacson and Scanziani 2011).

The spatial propagation of these interactions between excitatory and inhibitory neural populations locally on the cortical gray matter, as well as between cortical regions

connected through the long-distance white matter connectivity of the human brain gives rise to the emergence of spatiotemporal patterns of cortical activity. Thus, functional networks as well as oscillations of neural activity emerge as collective behavior of individual neurons regulated by neurophysiological variables; i.e. interplay between excitation and inhibition. As such, the same anatomical structure, that is, human brain connectivity gives rise to a variety of oscillatory patterns and networks simply by alteration of excitatory and inhibitory activity.

Recently, development of novel structural neuroimaging technologies, such as diffusion tensor imaging, have enabled the tracking of the long-distance white matter fibers of the human brain providing a comprehensive structural description of brain's network architecture, termed *human connectome* (Sporns and others 2005). Incorporating the human connectome into theoretical and computational models of neural dynamics has provided valuable insights into the link between anatomical structure of the human brain and RSN dynamics (Atasoy and others 2016; Deco and others 2009; Deco and others 2013b; Honey and others 2009). Furthermore, such whole-brain computational models also enable the investigation of cortical dynamics accompanying different mental states.

While the exact neural mechanisms underlying the loss and recovery of consciousness remain largely unknown, converging neurophysiological evidence suggests that transition to an unconscious state is accompanied by increasing inhibitory and/or decreasing excitatory activity (Brown and others 2010; Tononi and Koch 2008). In contrast to loss of consciousness, the psychedelic state, an enhanced state of consciousness, is postulated to result from increased cortical excitation via the stimulation of 5-HT_{2A} receptors caused by psychedelic drugs (Glennon and others 1984). All psychedelic drugs are agonists at the serotonin 2A receptor (5-HT_{2AR}) leading to increased 5-HT_{2AR} signaling (Glennon and others 1984). In the cortex, this receptor is most densely expressed postsynaptically in layer 5 pyramidal neurons, which are large excitatory neurons. Hence increased 5-HT_{2AR} stimulation by the psychedelic substance results in increased cortical excitation (Celada and others 2013). Although different anesthetics, psychedelics and sleep may affect neurophysiology through different neuronal pathways and mechanisms, converging evidence suggests that *drug- or sleep-induced loss of consciousness is associated with increasing inhibitory or decreasing excitatory activity, whereas psychedelic-induced enhanced state of consciousness results from increasing excitatory activity*. Hence, the contrast of these mental states; that is, loss versus enhancement of conscious experience, is also reflected in the activation of opposite neurophysiological mechanisms; that is, decreasing versus increasing cortical excitation.

Here, we demonstrate how the above-reviewed, seemingly unrelated findings on the neural correlates of consciousness; changes in neural oscillations, RSN configuration, the dynamical repertoire of brain states and in neurophysiology accompanying different mental states (wakefulness, sleep, anesthetized, and psychedelic states) *can be unified* and understood within one framework expressing the neural activity *as combinations of harmonic brain modes*. To this end, we first introduce the concept of elementary brain modes as the building blocks of spatiotemporal neural activity. Crucially, the particular definition of the elementary brain modes as connectome harmonic, proposed here, links both, the functional connectivity patterns as well as the cortical oscillations to the particular structural connectivity of the human brain, hence revealing the relation between spatial and temporal aspects of cortical activity patterns and human brain anatomy. Within the introduced framework, we then illustrate how a simple change in the excitation/inhibition (E/I) balance results in the change of temporal as well as network-correlates of mental states simply by activating and deactivating a different combination of these elementary brain modes.

Linking Space and Time of Brain Dynamics via Harmonic Brain Modes

The RSNs, that is, the networks of distributed brain regions with synchronized spontaneous oscillations, are revealed by estimating patterns of functional connectivity, for example, by estimating the correlation value between the temporal activity of a seed-location and that of the rest of the cortex (Fox and Raichle 2007). Hence, these spatial correlation patterns are intrinsically linked to spontaneous temporal oscillations of neural activity. However, as these spontaneous oscillations contain various temporal frequencies, the resulting spatial patterns of functional connectivity also consists of correlation patterns corresponding to multiple temporal frequencies.

Here, we introduce the idea that just like the different combinations of chemical basis in DNA comprise the fundamental elements of different genes or elementary particles form the building blocks of various atoms and molecules, complex spatiotemporal patterns of neural activity can also be decomposed into simple, elementary building blocks, that is, *elementary brain modes*. Taking into account the intrinsic relation between the temporal oscillations and the functional connectivity patterns they compose, an efficient definition of elementary brain modes would be patterns of fully synchronous neural activity emerging for different temporal frequencies. In fact, recently it has been shown that for each temporal frequency, the accompanying fully synchronous cortical pattern can be estimated from the structural connectivity

of the human brain via the mathematical framework of harmonic modes—a fundamental principle governing a multitude of physical and biological phenomena (Atasoy and others 2016).

Harmonic patterns are ubiquitous in nature emerging as building blocks of pattern formation in various physical and biological phenomena: standing wave patterns emerging in sound-induced vibrations of a guitar string or a metallic plate (first demonstrated as complex sand patterns by Chladni in 1830), patterns of ion motion emerging from electromagnetic interactions (Britton and others 2012; Roos 2012), electron wave function of a free particle given by time-independent Schrödinger equation (Moon and others 2008; Schrödinger 1926) and even in patterns emerging in complex dynamical systems such as the reaction-diffusion models (Murray 1988; Xu and others 1983). Mathematically, these harmonic patterns are given by the eigenfunctions of the Laplace operator Δ , which lies at the heart of theories of heat, light, sound, electricity, magnetism, gravitation, and fluid mechanics (Stewart 1999):

$$\Delta\psi_k = \lambda_k\psi_k, \quad \text{with } 0 < \lambda_1 < \lambda_2 < \dots \quad (1)$$

Here, we draw on the standing waves emerging in resonance phenomena to gain insights into the harmonic patterns and temporal oscillations. In principle, every physical system has a spectrum of preferred frequencies, called *natural frequencies*, at which the system tends to oscillate. When a physical system such as Chladni's metal plate or a musical instrument is excited with one of its natural frequencies, the system resonates and a sudden change in state occurs leading the system to a dynamic stable state, called the *eigenmode* or the natural mode of vibration. In each eigenmode, a characteristic standing wave emerges in the system and is maintained by the oscillations in the corresponding natural frequency. In other words, the standing wave is formed and sustained by the oscillation of each spatial location with the same natural frequency, which is synchronized throughout the complete system; for example, metal plate. This intrinsic relation between the standing wave patterns and the corresponding natural frequency of the temporal oscillations is also reflected in the mathematical description of this phenomenon; that is, the eigenfunctions of the Laplace operator Δ , that is, the harmonic patterns ψ_k , yield the shape of the standing wave pattern adapted to the particular geometry of the underlying domain, and the corresponding eigenvalues λ_k relate to the activated natural frequency. Hence, the eigen decomposition of the Laplace operator, Equation (1) also known as the Helmholtz equation, links the temporal oscillations, the spatial patterns of synchrony and the particular geometry where the standing waves emerge.

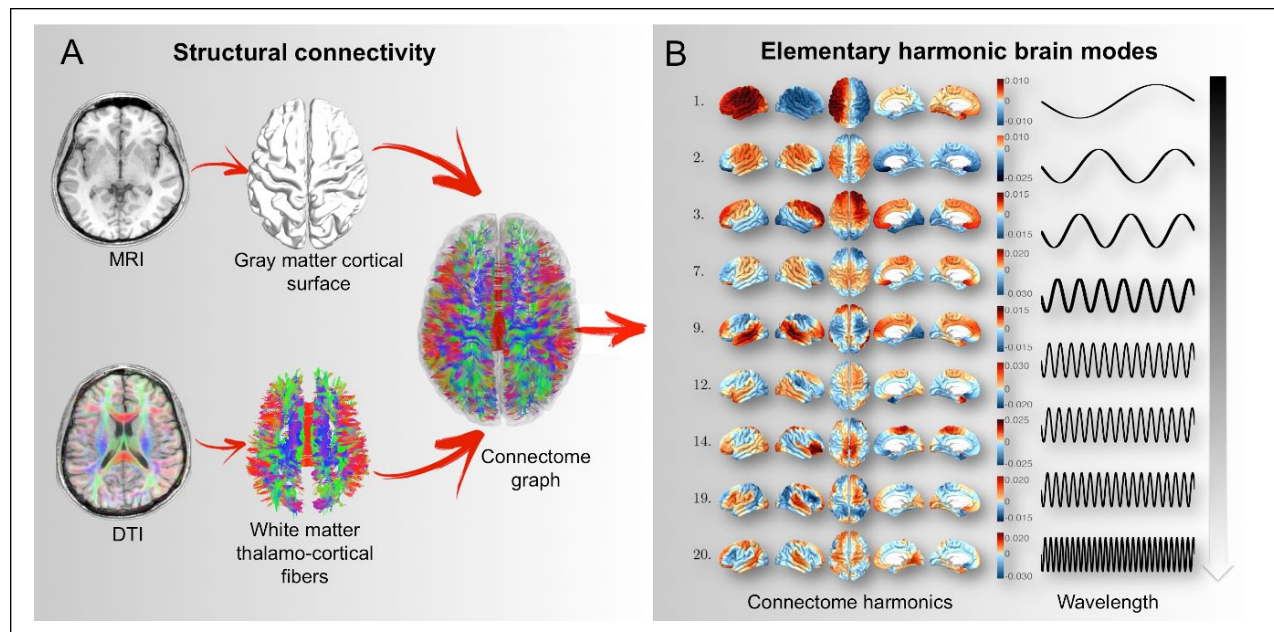


Figure 2. (A) Structural connectivity of the human brain defined as the combination of local cortical, gray matter connections. (B) Elementary harmonic brain modes defined as fully synchronous patterns of neural activity are estimated as the harmonic modes of structural connectivity; that is, connectome harmonics. Parts (A) and (B) are reproduced, with permission, from Atasoy and others (2016).

Remarkably, when applied to a one-dimensional domain with cyclic boundary conditions; that is, to a ring, these harmonic patterns constitute the well-known Fourier basis, whereas on a sphere they yield the spherical harmonics. Interestingly, neurophysiological models of brain activity point out the crucial link between the solutions of Helmholtz equation on the cortical surface and macro-scale neural activity (Nunez and others 2006, Robinson and others 2016). Notably, recent work has shown that the extension of these harmonic patterns to the full structural connectivity of the human brain, the human connectome, predicts the resting state networks (Atasoy and others 2016).

In this review, we emphasize another key characteristic of connectome harmonics: Because of their orthogonality, the set of all connectome harmonics provides a new function basis, which is by definition, an extension of the well-known Fourier basis to the structural connectivity of the human brain. The same way that any continuous signal can be reconstructed from the Fourier basis, any pattern of cortical activity can also be decomposed and reconstructed from the set of connectome harmonics. Thus, the set of connectome harmonics provides *a new frequency-specific language to describe cortical activity*, where each connectome harmonic pattern corresponds to a frequency-specific building block—an elementary brain mode—composing complex patterns of cortical activity. Moreover, because of their implicit relation to

resonance phenomena, where standing wave patterns are intrinsically linked to natural frequencies as well as to the geometry of the underlying domain, the language of connectome harmonics also establishes a natural link between the functional connectivity patterns, the temporal oscillations, and the anatomy of the human brain (Fig. 2).

Remarkably, when expressed in this new harmonic language, different RSNs have been found to match different parts of the connectome harmonic spectrum (Atasoy and others 2016). Furthermore, connectome harmonics have been shown to naturally self-organize from the interplay between neural excitation and inhibition (Atasoy and others 2016). Next, we demonstrate how seemingly unrelated experimental findings on the neural correlates of different mental states can be unified and understood in terms of activation and deactivation of these harmonic brain modes—connectome harmonics—and how this activation and deactivation is mediated crucially by the excitation-inhibition balance (Fig. 3).

A Unifying Framework for Neural Correlates of Mental States

In the earlier sections, we reviewed three different aspects of the neural correlates of mental states: (1) neural oscillations, (2) functional connectivity patterns, and (3) the underlying neurophysiological interactions. In the previous section, we demonstrated how the temporal

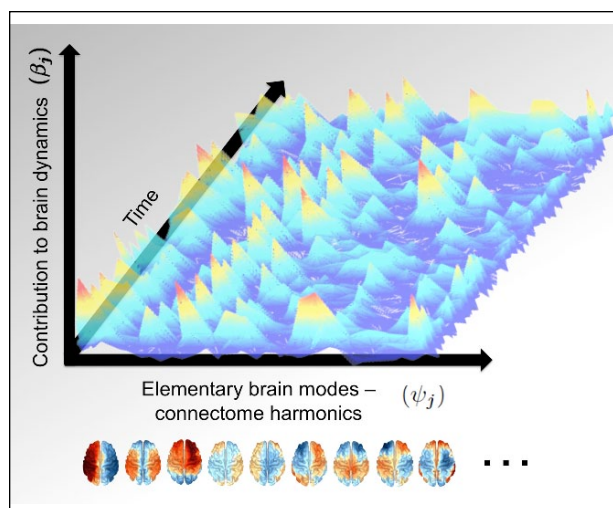


Figure 3. Projection of spatiotemporal patterns of neural activity reveals the contribution (β_j) of each connectome harmonic (ψ_j)—elementary harmonic brain mode—to brain dynamics.

oscillations and spatial functional connectivity patterns are linked to each other, as well as to neuroanatomy through the principle of harmonic waves; that is, via connectome harmonics. Now, we utilize an extension of neural field models, a well-studied mathematical model to describe neural activity via the interactions between excitation and inhibition, to demonstrate the link between the self-organization of connectome harmonics and neurophysiological changes accompanying various mental states.

Neural field modes are a set of coupled differential equations, which govern the coupling of the two types, excitatory and inhibitory neuronal populations in terms of average synaptic or firing rate. In their generic form, these models assume that neuronal dynamics to play out on a spatially extended cortical sheet, that is, a neural field. The most commonly used neural field equations, Wilson-Cowan equations (Wilson and Cowan 1973), have been successfully applied to describe the evolution of neural excitatory and inhibitory neural activity in the thalamocortical system (Wilson and Cowan 1973), formation of ocular dominance patterns in the visual cortex (Swindale 1980) and patterns of visual hallucinations (Ermentrout and Cowan 1979; Rule and others 2011). Initially introduced to describe neural activity at the mesoscopic scale, extension of neural field models to the full structural connectivity of the human connectome has been recently shown to account for the emergence of spontaneous oscillations and functional connectivity patterns in the macroscopic scale (Atasoy and others 2016). Here, we utilize this connectome-wide neural field model to investigate which connectome harmonics emerge for a

particular excitation/inhibition balance while varying the corresponding parameters in the neural field model according to neurophysiological changes in different mental states.

In neural field models, neural activity is described in terms of activity of two types of populations; activity of excitatory neurons; for example, mediated by glutamatergic principal cells, exciting excitatory (EE) as well as inhibitory neural populations (EI) and inhibition; for example, mediated by GABAergic interneurons, inhibiting excitatory (IE) as well as inhibitory neuronal populations (Fig. 4A and B). While in their generic form these two types of activities propagate only locally simulated by the application of a diffusion kernel, in their connectome-wide extension, this spatial propagation occurs not only locally but also through the long-distance white-matter fibers, following a diffusion process on the human connectome (Fig. 4A and B). Because of the orthogonality of connectome harmonics and the intrinsic relation between harmonic functions and diffusion equation, the connectome-wide neural field equations can be reformulated in terms of their connectome harmonic expansion (Fig. 4C), (Atasoy and others 2016). This decomposition of the neural field equations into connectome harmonics reveals the contribution of individual connectome harmonics over time to the spatiotemporal dynamics of brain activity. In other words, the neural field equations compose complex oscillatory patterns of cortical activity by letting certain connectome harmonic patterns grow (“activate”) and forcing others to die out (“deactivate”), where the choice of which connectome harmonics are activated and deactivated depends on the excitatory and inhibitory parameters of the model.

Evaluation of the neural field parameters governing the spatial propagation of excitatory EE, EI and inhibitory activity IE and II (Fig. 5A) reveals that a decrease in excitation (decrease of σ_{EE} and σ_{II}) and increase in inhibition (σ_{EI} and σ_{IE}) lead to a *collapse of the repertoire of connectome harmonics to a narrow range of low frequencies* (Fig. 5B). Furthermore, this change in the repertoire of active connectome harmonics is also accompanied by a *slowing down of the temporal oscillations* and *decoupling of the frontal cortex from the DMN* (Fig. 5C). Remarkably, these findings exactly reflect the neural correlates of sleep- and anesthetic-induced loss of consciousness: In terms of neurophysiological changes, although at the cellular level various anesthetics and sleep may act differently, drug- or sleep-induced loss of consciousness is associated with increasing inhibitory or decreasing excitatory activity (Tononi and Koch 2008). On a network level, substantial changes occur in the anatomical configuration of the RSNs and the functional connectivity between the anterior-posterior parts of the DMN breaks down (Table 1). Moreover, a collapse in the repertoire of

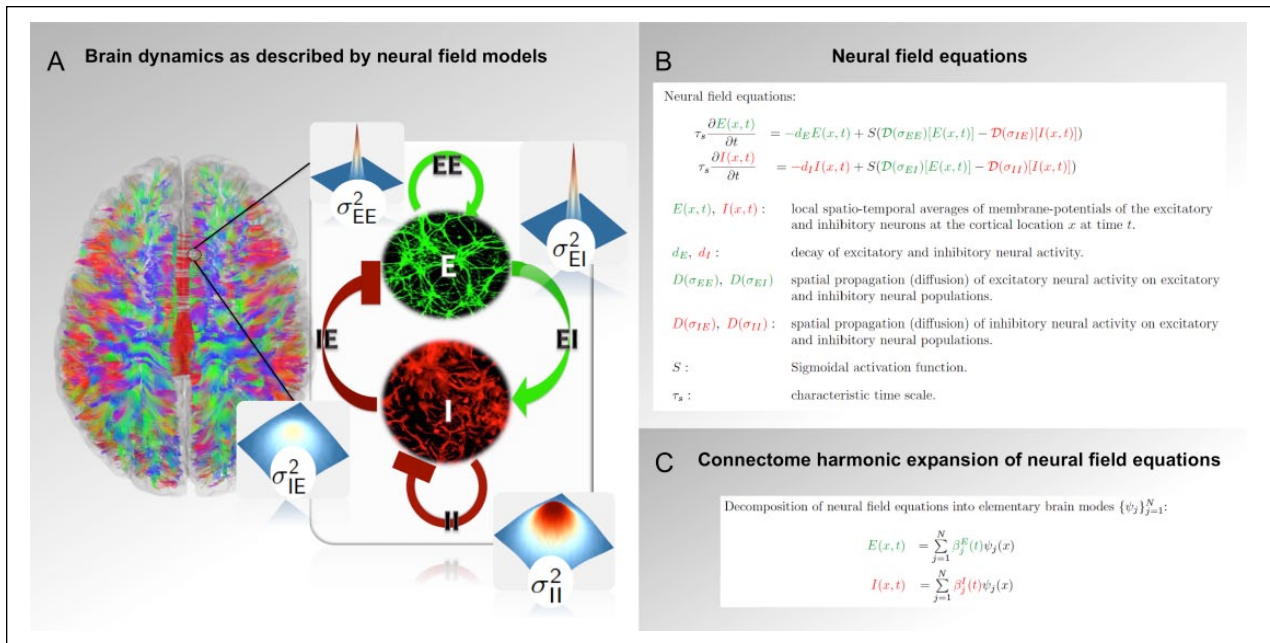
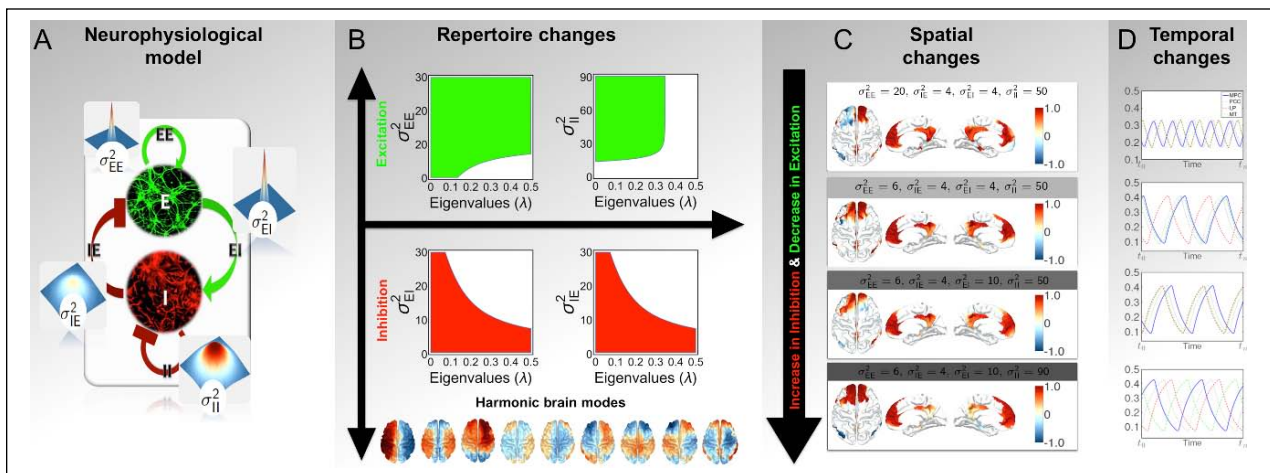


Figure 4. Illustration of the self-organization of the harmonic brain modes from the interplay between neural excitation and inhibition and the decomposition of spatiotemporal brain dynamics into harmonic brain modes. (A) Extension of neural field models to the full structural connectivity of human connectome. (B) Wilson-Cowan equations. (C) Decomposition of Wilson-Cowan equations into connectome harmonics.



functional connectivity patterns is observed in anesthesia (Bartfeld and others 2015). Finally, these neurophysiological and network level changes are also accompanied by a transition from the low amplitude, high-frequency

patterns to low-frequency coherent oscillations in cortical activity in loss of consciousness (Casali and others 2013; Tononi and Koch 2008); for example, as observed during the emergence of delta waves in deep sleep.

Table 1. Network-Level Changes in Loss or Enhancement of Consciousness.

Study	State of consciousness	Imaging Modality	Neural correlates
(Fukunaga et al., 2006)	Light sleep	fMRI	Persistent patterns of correlated fluctuations.
(Horowitz et al., 2008)	Light sleep	fMRI	Persistent correlated fluctuations in DMN.
(Larson-Prior et al., 2009)	Light sleep	fMRI	Persistent functional connectivity in 5 RSNs including sensory networks (visual, auditory, and somatomotor) as well as cognitive networks (dorsal attention, default mode, executive control) networks during light (stage 2) sleep.
(Horowitz et al., 2009)	Deep sleep Stages 2/3/4	fMRI	Decoupling of the brain's default mode network during deep sleep.
(Samann et al., 2011)	NREM sleep Stage 1,2. slow wave sleep	fMRI	Breakdown of cortico-cortical functional connectivity, particularly between the posterior and anterior midline node of the DMN and the DMN and the ACN (Samann et al., 2011).
(Koike et al., 2011)	Light NREM (Stage 2) Deep NREM(Stage 3/4) REM sleep	fMRI	Substantial changes in the functional connectivity in DMN subsystem detected after decomposing the DMN components into a core and subsystem: persistent connectivity in DMN core regions in light and deep NREM sleep as well as REM sleep.
(Melanie Boly et al., 2012)	Light NREM (Stage 2) Deep NREM (Stage 3/4)	fMRI	Modification of the hierarchical organization of large-scale networks into smaller independent modules during NREM sleep, was found using information theoretic measures (entropy) and functional clustering index.
(Vincent et al., 2007)	Anesthesia (monkeys)	fMRI	Persistence of correlated activity within the DMN, particularly between the posterior cingulate/precuneus cortex (pC/PCC) and lateral temporoparietal components of DMN observed in anesthetized monkeys.
(Greicius et al., 2008)	Light, conscious sedation	fMRI	Persistent DMN connectivity detected during conscious, light sedation. Significantly reduced functional connectivity found in the posterior cingulate cortex during conscious sedation.
(Stamatakis et al., 2010)	Low and moderate sedation	fMRI	Persistent DMN connectivity detected during low and moderate sedation. Significantly increased functional connectivity found between the posterior cingulate cortex and the motor/somatosensory cortices, the anterior thalamic nuclei, and the reticular activating system, which are not part of the DMN.
(Boveroux et al., 2010)	Deep sedation, clinical unconsciousness	fMRI	Propofol-induced decrease of consciousness linearly correlates with decreased connectivity in the DMN and executive control network – which is usually anti-correlated to the DMN. The spatial extent of these anti-correlations greatly diminished during clinical unconsciousness due to deep sedation.
(Martuzzi et al., 2010)	Deep sedation, clinical unconsciousness	fMRI	Alteration of the strength of functional connectivity during anesthesia in a network-specific manner.

(continued)

Table 1. (continued)

Study	State of consciousness	Imaging Modality	Neural correlates
(Schrouff et al., 2011)	Propofol induced Deep sedation, clinical unconsciousness		Reduced integration (estimated by entropy-based hierarchical clustering) within each functional network as well as between networks during propofol-induced loss of consciousness. Functional connectivity was particularly affected between parietal areas and frontal or temporal regions during deep sedation induced by propofol.
(Carhart- Harris, Erritzoe, et al., 2012a)	Psilocybin- induced psychedelics state	fMRI	Decreased functional connectivity between anterior-posterior nodes of the DMN (mPFC and PCC). Decreases activity in ACC and mPFC.
(Carhart- Harris, Leech, et al., 2012b)	Psilocybin- induced psychedelics state	fMRI	Increased functional connectivity between DMN and its anti-correlated task positive network (TPN).
(Muthukum araswamy et al., 2013)	Psilocybin-induced psychedelics state	MEG	Decreased oscillatory power within the DMN measured with MEG. Reduced spontaneous oscillatory power in posterior association cortices within 1-50 Hz and in frontal association cortices within the 8-100 Hz frequency band.
(Roseman, 2014)	Psilocybin-and MDMA-induced psychedelics state	fMRI	Increased between-RSN functional connectivity under psilocybin. MDMA had a notably less marked effect on between-RSN functional connectivity.
(Tagliazucc hi et al., 2014)	Psilocybin- induced psychedelics state	fMRI	Decreased oscillatory power in low frequency range (0.01-0.1 Hz) measured with fMRI within DMN, control and attention networks. Increased diversity in the repertoire of functional connectivity patterns in fMRI and increased exploration rate of this repertoire under psilocybin.
(Fernanda Palhano-Fontes, 2015)	Ayahuasca- induced psychedelics state	fMRI	Decreased functional connectivity between PCC and precuneus, parts of the DMN. Increased activity in DMN including Posterior Cingulate Cortex (PCC)/Precuneus and the medial Prefrontal Cortex (mPFC).
(Eduardo Ekman Schenberg, 2015)	Ayahuasca- induced psychedelics state	EEG	No significant change in the anti-correlations between DMN and task positive network. Decreased oscillatory power in the alpha-band (8-13 Hz).
(Tagliazucc hi et al., 2016)	LSD-induced psychedelics state	fMRI	Globally increased functional connectivity throughout the cortex, in particular within high-level association cortices (partially overlapping with the default-mode, salience, and fronto-parietal attention networks) and the thalamus.
(Carhart- Harris, Muthukumaraswamy, et al., 2016b)	LSD-induced psychedelics state	fMRI, MEG	Decreased functional connectivity in DMN, as well as various other RSNs (medial visual, lateral visual, occipital pole, auditory, sensorimotor, parietal cortex, dorsal attention, left frontoparietal and right frontoparietal networks). Decreased oscillatory power in four frequency bands delta (1-4Hz), theta (4-8Hz), alpha (8-15Hz) and beta (15-30Hz). In particular decreased oscillatory alpha power in DMN correlates with the experience of ego-dissolution. Decreased functional connectivity and decreased oscillatory alpha power in DMN, as well as decreased functional connectivity between parahippocampus and retrosplenial cortex correlated with the experience of ego-dissolution.

ACN, anticorrelated network; DMN, default mode network; fMRI, functional magnetic resonance imaging; MDMA, 3,4-methylenedioxymethamphetamine; MEG, magnetoencephalography; NREM, non-rapid eye movement; REM, rapid eye movement; RSN, resting state network.

On the contrary, psychedelic state—an enhanced state of consciousness—is neurophysiologically associated with increased excitatory activity mediated by 5-HT_{2A}R signaling (Glennon and others 1984). Notably, increasing the excitatory parameters in the neural field model enables the activation of a broad range of the connectome harmonic spectrum (Fig. 5B). Activation of a greater repertoire of connectome harmonics would also lead to higher variability of the temporal fluctuations due to simultaneous contribution of a larger repertoire of temporal frequencies. Indeed, higher temporal variability of the fMRI BOLD (Tagliazucchi and others 2014) and the MEG signal (Schartner and others 2017) have been found in the psychedelic state.

Taken together, these findings demonstrate that cortical dynamics modelled by the connectome-wide neural field models remarkably fit the neurophysiological, network-level, and temporal correlates of loss and recovery of consciousness while revealing how these different aspects in fact can be simply linked by the activation and deactivation of connectome harmonics as elementary brain modes. Hence, connectome harmonics introduced here as elementary harmonic brain modes not only unifies seemingly unrelated neural correlates of various mental states, but also suggests a fundamental principle ubiquitously observed in various different natural phenomena as their underlying mechanism.

Conclusions

Here, we reviewed existing findings on the neural correlates of different mental states, including wakefulness, sleep- and anesthesia-induced loss of consciousness and psychedelic states. We characterized the neurophysiological, temporal and network-level correlates of these mental states. We introduced the concept of *elementary harmonic brain modes*; that is, building blocks of spatiotemporal patterns of neural activity and proposed their estimation as connectome harmonics; that is, harmonic modes of structural connectivity (Atasoy and others 2016). We then demonstrated the intrinsic link between connectome harmonics and temporal oscillations and discussed how they naturally self-organize from the interplay between neural excitation and inhibition. These intrinsic links of connectome harmonics to neurophysiology and temporal oscillations renders them uniquely suitable to be defined as the elementary brain modes composing the complex spatio-temporal patterns of brain activity. Just like the musical notes composing a complex musical piece, these harmonic brain modes defined as connectome harmonics yield the frequency-specific building blocks of cortical activity.

Remarkably, when neural dynamics—expressed by the connectome-wide neural field model—are decomposed into different connectome harmonics, the critical

relation between excitation-inhibition balance and temporal oscillations fits the neurophysiological changes observed during loss and recovery of consciousness. In other words, during loss of consciousness neural activity becomes locked to a narrow frequency range, whereas in wakefulness a broad frequency range of the connectome harmonic spectrum constitutes the neural activity. A broader range of connectome harmonics are enabled for activation for increased excitation that is known to occur in the psychedelic state (Glennon and others 1984). Hence, following the musical analogy, consciousness can be compared with a rich symphony played by an orchestra, whereas loss of consciousness would correspond to a limited repertoire of musical note played repetitively.

The framework of elementary harmonic brain modes offers a unifying perspective and explanatory framework revealing the link between various seemingly unrelated findings on neural correlates of consciousness. The proposed framework links the spatial patterns of correlated neural activity, not only to temporal oscillations characteristic of mammalian brain activity but also to brain anatomy and neurophysiology. Hence, this framework goes beyond enabling a new dimension of tools for decomposing complex patterns of neural activity into their elementary building blocks, by also providing a fundamental principle linking space and time in neural dynamics through harmonic waves—a phenomenon ubiquitous in nature.

Declaration of Conflicting Interests

The author(s) declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this article.

Funding

The author(s) disclosed receipt of the following financial support for the research, authorship, and/or publication of this article: This work and S.A. are supported by Programa Beatriu de Pinos 2014 BP-B 00270. G.D. is supported by the ERC Advanced Grant: DYSTRUCTURE (No. 295129), by the Spanish Research Project PSI2016-75688-P (AEI/FEDER), by the European Union's Horizon 2020 research and innovation programme under grant agreement No. 720270 (HBP SGA1). M.L.K. is supported by the ERC Grant: CAREGIVING (No. 615539). J.P. is supported by Australian NHMRC grants GNT1046198, GNT1085404, ARC discovery projects DP140101560, DP160103299 and by an NHMRC Career Development Fellowship GNT1049596.

Note

1. DMN subsystem is considered part of the DMN, but connectivity within the DMN subsystem is not robust as the DMN core, in other words these components are strongly linked to the core hubs of the DMN but not to each other (Buckner and others 2008).

References

- Alkire MT, Hudetz AG, Tononi G. 2008. Consciousness and anesthesia. *Science* 322(5903):876–80.
- Atasoy S, Donnelly I, Pearson J. 2016. Human brain networks function in connectome-specific harmonic waves. *Nat Commun* 7:10340.
- Atasoy S, Roseman L, Kaelen M, Kringelbach ML, Deco G, Carhart-Harris RL. 2017. Connectome-harmonic decomposition of human brain activity reveals dynamical repertoire re-organization under LSD. *BioRxiv*. Preprint Jul 27. <http://doi.org/10.1101/163667>
- Barttfeld P, Uhrig L, Sitt JD, Sigman M, Jarraya B, Dehaene S. 2015. Signature of consciousness in the dynamics of resting-state brain activity. *Proc Natl Acad Sci U S A* 112(3):887–92.
- Bayne T, Hohwy J, Owen AM. 2016. Are there levels of consciousness? *Trends Cogn Sci* 20(6):405–13.
- Berger H. 1929. Über das elektrenkephalogramm des menschen. *Eur Arch Psychiatry Clin Neurosci* 87(1):527–70.
- Biswal B, Zerrin Yetkin F, Haughton VM, Hyde JS. 1995. Functional connectivity in the motor cortex of resting human brain using echo-planar MRI. *Magn Reson Med* 34(4):537–41.
- Boly M, Massimini M, Garrido MI, Gosseries O, Noirhomme Q, Laureys S, and others. 2012a. Brain connectivity in disorders of consciousness. *Brain Connect* 2(1):1–10.
- Boly M, Perlberg V, Marrelec G, Schabus M, Laureys S, Doyon J, and others. 2012b. Hierarchical clustering of brain activity during human nonrapid eye movement sleep. *Proc Natl Acad Sci U S A* 109(15):5856–61.
- Boveroux P, Vanhaudenhuyse A, Bruno MA, Noirhomme Q, Lauwrick S, Luxen A, and others. 2010. Breakdown of within- and between-network resting state functional magnetic resonance imaging connectivity during propofol-induced loss of consciousness. *Anesthesiology* 113(5):1038–53.
- Britton JW, Sawyer BC, Keith AC, Wang CCJ, Freericks JK, Uys H, and others. 2012. Engineered two-dimensional Ising interactions in a trapped-ion quantum simulator with hundreds of spins. *Nature* 484(7395):489–92.
- Britz J, Van De Ville D, Michel CM. 2010. BOLD correlates of EEG topography reveal rapid resting-state network dynamics. *Neuroimage* 52(4):1162–70.
- Brown EN, Lydic R, Schiff ND. 2010. General anesthesia, sleep, and coma. *Mechanisms of disease. N Engl J Med* 363(27):2638–50.
- Brown EN, Purdon PL, Van Dort CJ. 2011. General anesthesia and altered states of arousal: a systems neuroscience analysis. *Annu Rev Neurosci* 34(1):601–28.
- Buckner RL, Andrews-Hanna JR, Schacter DL. 2008. The brain's default network: anatomy, function, and relevance to disease. *Ann N Y Acad Sci* 1124:1–38.
- Buckner RL, Krienen FM, Yeo BTT. 2013. Opportunities and limitations of intrinsic functional connectivity MRI. *Nat Neurosci* 16(7):832–7.
- Buzsaki G. 2004. Neuronal oscillations in cortical networks. *Science* 304(5679):1926–9.
- Cabral J, Kringelbach ML, Deco G. 2017. Functional connectivity dynamically evolves on multiple time-scales over a static structural connectome: models and mechanisms. *Neuroimage*. Mar 23 Epub ahead of print. doi: 10.1016/j.neuroimage.2017.03.045
- Carhart-Harris RL, Bolstridge M, Rucker J, Day CM, Erritzoe D, Kaelen M, and others. 2016a. Psilocybin with psychological support for treatment-resistant depression: an open-label feasibility study. *Lancet Psychiatry* 3(7):619–27.
- Carhart-Harris RL, Erritzoe D, Williams T, Stone JM, Reed LJ, Colasanti A, and others. 2012a. Neural correlates of the psychedelic state as determined by fMRI studies with psilocybin. *Proc Natl Acad Sci U S A* 109(6):2138–43.
- Carhart-Harris RL, Kaelen M, Whalley MG, Bolstridge M, Feilding A, Nutt DJ. 2014a. LSD enhances suggestibility in healthy volunteers. *Psychopharmacology* 232(4):785–94.
- Carhart-Harris RL, Leech R, Erritzoe D, Williams TM, Stone JM, Evans J, and others. 2012b. Functional connectivity measures after psilocybin inform a novel hypothesis of early psychosis. *Schizophr Bull* 39(6):1343–51.
- Carhart-Harris RL, Leech R, Hellyer PJ, Shanahan M, Feilding A, Tagliazucchi E, and others. 2014b. The entropic brain: a theory of conscious states informed by neuroimaging research with psychedelic drugs. *Front Hum Neurosci* 8:20.
- Carhart-Harris RL, Muthukumaraswamy S, Roseman L, Kaelen M, Droog W, Murphy K, and others. 2016b. Neural correlates of the LSD experience revealed by multimodal neuroimaging. *Proc Natl Acad Sci U S A* 113(17):4853–8.
- Casali AG, Gosseries O, Rosanova M, Boly M, Sarasso S, Casali KR, and others. 2013. A theoretically based index of consciousness independent of sensory processing and behavior. *Science Transl Med* 5(198):198ra105.
- Celada P, Puig MV, Artigas F. 2013. Serotonin modulation of cortical neurons and networks. *Front Integr Neurosci* 7:25.
- Chladni EFF. 1830. *Die Akustik*. Wiesbaden, Germany: Breitkopf & Härtel.
- Crick F, Koch C. 2003. A framework for consciousness. *Nat Neurosci* 6(2):119–26.
- Damoiseaux JS, Rombouts SARB, Barkhof F, Scheltens P, Stam CJ, Smith SM, and others. 2006. Consistent resting-state networks across healthy subjects. *Proc Natl Acad Sci* 103(37):13848–53.
- de Pasquale F, Della Penna S, Snyder AZ, Lewis C, Mantini D, Marzetti L, and others. 2010. Temporal dynamics of spontaneous MEG activity in brain networks. *Proc Natl Acad Sci U S A* 107(13):6040–5.
- de Pasquale F, Della Penna S, Snyder AZ, Marzetti L, Pizzella V, Romani GL, and others. 2012. A cortical core for dynamic integration of functional networks in the resting human brain. *Neuron* 74(4):753–64.
- Deco G, Corbetta M. 2011. The dynamical balance of the brain at rest. *Neuroscientist* 17(1):107–23.
- Deco G, Kringelbach ML. 2014. Great expectations: Using whole-brain computational connectomics for understanding neuropsychiatric disorders. *Neuron* 84:892–905.
- Deco G, Hagmann P, Hudetz AG, Tononi G. 2013a. Modeling resting-state functional networks when the cortex falls asleep: local and global changes. *Cereb Cortex* 24(12):3180–94.
- Deco G, Jirsa VK, McIntosh AR. 2011. Emerging concepts for the dynamical organization of resting-state activity in the brain. *Nat Rev Neurosci* 12(1):43–56.

- Deco G, Jirsa VK, McIntosh AR. 2013b. Resting brains never rest: computational insights into potential cognitive architectures. *Trends Neurosci* 36(5):268–74.
- Deco G, Jirsa VK, McIntosh AR, Sporns O, Kotter R. 2009. Key role of coupling, delay, and noise in resting brain fluctuations. *Proc Natl Acad Sci U S A* 106(25):10302–7.
- DeFelipe J. 2010. From the connectome to the synaptome: an epic love story. *Science* 330(6008):1198–201.
- Ermentrout GB, Cowan JD. 1979. A mathematical theory of visual hallucination patterns. *Biol Cybern* 34(3):137–50.
- Fox MD, Raichle ME. 2007. Spontaneous fluctuations in brain activity observed with functional magnetic resonance imaging. *Nat Rev Neurosci* 8(9):700–11.
- Fox MD, Snyder AZ, Vincent JL, Corbetta M, Van Essen DC. 2005. The human brain is intrinsically organized into dynamic, anticorrelated functional networks. *Proc Natl Acad Sci U S A* 102(27):9673–8.
- Fransson P. 2005. Spontaneous low-frequency BOLD signal fluctuations: an fMRI investigation of the resting-state default mode of brain function hypothesis. *Hum Brain Mapp* 26(1):15–29.
- Fukunaga M, Horovitz SG, van Gelderen P, de Zwart JA, Jansma JM, Ikonomidou VN, and others. 2006. Large-amplitude, spatially correlated fluctuations in BOLD fMRI signals during extended rest and early sleep stages. *Magn Reson Imaging* 24(8):979–992.
- Glennon RA, Titeler M, McKenney JD. 1984. Evidence for 5-HT₂ involvement in the mechanism of action of hallucinogenic agents. *Life Sci* 35(25):2505–11.
- Greicius MD, Kiviniemi V, Tervonen O, Vainionpää V, Alahuhta S, Reiss AL, and others. 2008. Persistent default-mode network connectivity during light sedation. *Hum Brain Mapp* 29(7):839–47.
- Heine L, Soddu A, Gómez F, Vanhaudenhuyse A, Tshibanda L, Thonnard M, and others. 2012. Resting state networks and consciousness: alterations of multiple resting state network connectivity in physiological, pharmacological, and pathological consciousness states. *Front Psychol* 3:295.
- Honey CJ, Sporns O, Cammoun L, Gigandet X, Thiran JP, Meuli R, and others. 2009. Predicting human resting-state functional connectivity from structural connectivity. *Proc Natl Acad Sci U S A* 106(6):2035–40.
- Horovitz SG, Braun AR, Carr WS, Picchioni D, Balkin TJ, Fukunaga M, and others. 2009. Decoupling of the brain's default mode network during deep sleep. *Proc Natl Acad Sci U S A* 106(27):11376–81.
- Horovitz SG, Fukunaga M, de Zwart JA, van Gelderen P, Fulton SC, Balkin TJ, and others. 2008. Low frequency BOLD fluctuations during resting wakefulness and light sleep: a simultaneous EEG-fMRI study. *Hum Brain Mapp* 29(6):671–82.
- Isaacson JS, Scanziani M. 2011. How inhibition shapes cortical activity. *Neuron* 72(2):231–43.
- Jobst B, Hindriks H, Laufs H, Tagliazucchi E, Hahn G, Ponce-Alvarez A, and others. 2017. Increased stability and breakdown of brain effective connectivity during slow-wave sleep: mechanistic insights from whole-brain computational modeling. *Sci Rep* 7:4634.
- Kaelen M, Barrett FS, Roseman L, Lorenz R, Family N, Bolstridge M, and others. 2015. LSD enhances the emotional response to music. *Psychopharmacology* 232(19):3607–14.
- Koike T, Kan S, Misaki M, Miyauchi S. 2011. Connectivity pattern changes in default-mode network with deep non-REM and REM sleep. *Neurosci Res* 69(4):322–30.
- Larson-Prior LJ, Zempelb JM, Nolana TS, Priora FW, Snyder AZ, Raichle ME. 2009. Cortical network functional connectivity in the descent to sleep. *Proc Natl Acad Sci* 106(11):4489–94.
- Martuzzi R, Ramani R, Qiu M, Rajeevan N, Constable RT. 2010. Functional connectivity and alterations in baseline brain state in humans. *Neuroimage* 49(1):823–34.
- Massimini M, Ferrarelli F, Huber R, Esser SK, Singh H, Tononi G. 2005. Breakdown of cortical effective connectivity during sleep. *Science* 309(5744):2228–32.
- Moon CR, Mattos LS, Foster BK, Zeltzer G, Ko W, Manoharan HC. 2008. Quantum phase extraction in isospectral electronic nanostructures. *Science* 319(5864):782–7.
- Murray JD. 1988. How the leopard gets its spots. *Sci Am* 258(3):80–87.
- Musso F, Brinkmeyer J, Mobascher A, Warbrick T, Winterer G. 2010. Spontaneous brain activity and EEG microstates. A novel EEG/fMRI analysis approach to explore resting-state networks. *Neuroimage* 52(4):1149–61.
- Muthukumaraswamy SD, Carhart-Harris RL, Moran RJ, Brookes MJ, Williams TM, Erritzoe D, and others. 2013. Broadband cortical desynchronization underlies the human psychedelic state. *J Neurosci* 33(38):15171–83.
- Nunez PL, Srinivasan R. 2006. A theoretical basis for standing and traveling brain waves measured with human EEG with implications for an integrated consciousness. *Clin Neurophysiol* 117(11):2424–35.
- Palhano-Fontes F, Andrade KC, Tofoli LF, Santos AC, Crippa JAS, Hallak JE, and others. 2015. The psychedelic state induced by ayahuasca modulates the activity and connectivity of the default mode network. *PLoS One* 10(2):e0118143.
- Purdon PL, Pierce ET, Mukamel EA, Prerau MJ, Walsh JL, Wong KFK, and others. 2013. Electroencephalogram signatures of loss and recovery of consciousness from propofol. *Proc Natl Acad Sci U S A* 110(12):E1142–51.
- Raichle ME, Snyder A. 2007. A default mode of brain function: a brief history of an evolving idea. *Neuroimage* 37(4):1083–90.
- Raichle ME, MacLeo AM, Snyder AZ, Powers WJ, Gusnar DA, Shulman GL. 2001. A default mode of brain function. *Proc Natl Acad Sci U S A* 98(2):676–82.
- Rechtschaffen A, Kales A, editors. 1968. A manual of standardized terminology, techniques and scoring system for sleep stages of human subjects. Washington, DC: Government Printing Office.
- Robinson PA, Zhao X, Aquino KM, Griffiths JD, Sarkar S, and Mehta-Pandey, G. 2016. Eigenmodes of brain activity: Neural field theory predictions and comparison with experiment. *Neuroimage* 142:79–98.
- Roos C. 2012. Simulating magnetism. *Nature* 484(7395):461–2.

- Roseman L, Leech R, Feilding A, Nutt DJ, Carhart-Harris RL. 2014. The effects of psilocybin and MDMA on between-network resting state functional connectivity in healthy volunteers. *Front Hum Neurosci* 8:204.
- Rule M, Stoffregen M, Ermentrout B. 2011. A model for the origin and properties of flicker-induced geometric phosphenes. *PLoS Comput Biol* 7(9):e1002158.
- Sämann PG, Wehrle R, Hoehn D, Spoormaker VI, Peters H, Tully C, and others. 2011. Development of the brain's default mode network from wakefulness to slow wave sleep. *Cereb Cortex* 21(9):2082–93.
- Schartner MM, Carhart-Harris RL, Barrett AB, Seth AK, Muthukumaraswamy SD. 2017. Increased spontaneous MEG signal diversity for psychoactive doses of ketamine, LSD and psilocybin. *Sci Rep* 7:46421.
- Schenberg EE, Alexandre JFM, Filev R, Cravo AM, Sato JR, Muthukumaraswamy SD, and others. 2015. Acute biphasic effects of ayahuasca. *PLoS One* 10(9):e0137202.
- Schrödinger E. 1926. An undulatory theory of the mechanics of atoms and molecules. *Phys Rev* 28(6):1049.
- Schrouff J, Perlberg V, Boly M, Marrelec G, Boveroux P, Vanhaudenhuyse A, and others. 2011. Brain functional integration decreases during propofol-induced loss of consciousness. *Neuroimage* 57(1):198–205.
- Siclari F, Baird B, Perogamvros L, Bernardi G, LaRocque JJ, Riedner B, and others. 2017. The neural correlates of dreaming. *Nat Neurosci* 20(6):872–8.
- Simon CW, Emmons WH. 1956. EEG, consciousness, and sleep. *Science* 124(3231):1066–9.
- Spadone S, de Pasquale F, Mantini D, Della Penna S. 2012. A K-means multivariate approach for clustering independent components from magnetoencephalographic data. *Neuroimage*, 62(3):1912–23.
- Sporns O, Tononi G, Kötter R. 2005. The human connectome: a structural description of the human brain. *PLoS Comput Biol* 1(4):e42.
- Stamatakis EA, Adapa RM, Absalom AR, Menon DK. 2010. Changes in resting neural connectivity during propofol sedation. *PLoS One* 5(12):e14224.
- Steriade M, McCormick DA, Sejnowski TJ. 1993. Thalamocortical oscillations in the sleeping and aroused brain. *Science* 262(5134):679–85.
- Stewart I. 1999. Mathematics: holes and hot spots. *Nature* 401(6756):863–5.
- Swindale NV. 1980. A model for the formation of ocular dominance stripes. *Proc R Soc Lond B* 208(1171):243–64.
- Tagliazucchi E, Carhart-Harris R, Leech R, Nutt D, Chialvo DR. 2014. Enhanced repertoire of brain dynamical states during the psychedelic experience. *Hum Brain Mapp* 35(11):5442–56.
- Tagliazucchi E, Roseman L, Kaelen M, Orban C, Muthukumaraswamy SD, Murphy K, and others. 2016. Increased global functional connectivity correlates with LSD-induced ego dissolution. *Curr Biol* 26(8):1043–50.
- Tononi G, Edelman GM. 1998. Consciousness and complexity. *Science* 282(5395):1846–51.
- Tononi G, Koch C. 2008. The neural correlates of consciousness: an update. *Ann N Y Acad Sci* 1124(1):239–61.
- Vincent JL, Patel GH, Fox MD, Snyder AZ, Baker JT, Van Essen DC, and others. 2007. Intrinsic functional architecture in the anesthetized monkey brain. *Nature* 447(7140):83–6.
- Wilson HR, Cowan JD. 1973. A mathematical theory of the functional dynamics of cortical and thalamic nervous tissue. *Biol Cybern* 13(2):55–80.
- Xu Y, Vest CM, Murray JD. 1983. Holographic interferometry used to demonstrate a theory of pattern formation in animal coats. *Appl Opt* 22(22):3479–83.
- Yeo BT, Krienen FM, Sepulcre J, Sabuncu MR, Lashkari D, Hollinshead M, and others. 2011. The organization of the human cerebral cortex estimated by intrinsic functional connectivity. *J Neurophysiol* 106(3):1125–65.
- Zeman A. 2001. Consciousness. *Brain* 124(Pt 7):1263–89.