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Plasma levels of neuroleptics vs clinical response

ABSTRACT: The authors summarize the correlation of blood-plasma levels to clinical responses of all available psychotropic drugs and related medications. The optimal therapeutic plasma levels are tabulated. Ten different factors influencing the blood drug levels are discussed.

Even though psychoactive drugs have made possible fundamental changes in patient management, including the establishment of community psychiatry, they have been administered for a quarter of a century without scientific guidelines. This is true in spite of the well-known fact that patients with the same diagnosis, severity, and chronicity, treated by the same psychiatrist, respond differently to the same psychoactive drugs. For example, one patient may respond to 5 mg of haloperidol three times a day and recover completely from psychosis; yet another may not improve at all, even though the dosage of haloperidol is titrated up to 100 mg daily. Often, the medication is administered on a hit-and-miss basis. One of the most logical explanations of this variation is the difference of plasma levels, which

vary from individual to individual because of various factors which will be discussed later.

In order for a drug to be effective, an optimal amount of the drug must be carried by the bloodstream to the site of illness in the body. In psychiatry, the medication should reach the brain and act on the neural receptor sites. For example, when lithium carbonate is used to treat manic depressive illness, it is mandatory that the plasma lithium level of each patient be diligently monitored to ensure therapeutic results and to prevent toxic effects. If we could determine the therapeutic plasma drug levels of psychoactive medication for each individual patient, we would be better able to bring psychiatry back to the mainstream of medicine.

In a thorough search, we were astonished by the scarcity of the

literature concerning plasma levels of psychoactive drugs. Letters to all drug companies that market psychoactive drugs yielded little additional information. Currently, there are approximately 32 psychoactive drugs on the market, excluding lithium carbonate, stimulants, and sedatives. Twenty of the 32 are commonly prescribed, but only 10 have had their plasma levels studied; a few have been correlated with clinical responses. Therefore, the authors have prepared the accompanying Tables to serve as a reference for clinicians and to stimulate further research in this very important area. The Tables summarize all available data to date.

Discussion

As shown in the Tables, the relationship of plasma levels of psychoactive drugs and clinical responses is not a simple one. Curry and associates⁵⁸ and Garver and associates¹⁴ were among the first to study the blood-plasma level of antipsychotic drugs. They found that there was a definite relationship between the plasma level of chlor-

Neuroleptics

promazine (CPZ) and therapeutic responses, but it was a nonlinear one; that is, there was an optimal plasma level below which there was less or no therapeutic response.

This phenomenon has been referred to as the therapeutic window.

Rivera-Calimlim and associates,^{4,59} after their study of plasma CPZ levels, also concluded that

there was a nonlinear relationship; that is, patients who did not improve clinically showed low plasma levels, while those who improved significantly had plasma levels five

Antipsychotic Drugs

Drug	Dosage (mg)	Route	Frequency	Number of subjects	Ax. subj. weight	Concentration in blood(S), plasma(P), serum(S), ng/ml	Time after last dose (hours)	Clinical response	Method	Reference
Chlorprothixene	50	Oral	Single	1	Adult	40	8	Comatose		1
	7,500	Oral			Adult	800	5 Days			2
Chlorpromazine	2,000	Oral	Divided	1	100 kg	3,000		Death	Liq. gas chromatography	3*
	400-800	Oral	Divided	50	Adult	50-300	12			4
	100	IM		14	Adult	70	10			5
Thioridazine		Oral	Divided	6	Adult	2,400-11,800		Nonfatal overdose Death	Quan. gas chromatography Quan. gas chromatography	6
		Oral		4	58 kg	1,100-6,200				7
	420	Oral	Divided		Adult	1,300				8
	840	Oral	Divided	20	Adult	2,000-3,000				8
	100	Oral	Single	10	Adult	130-520				9
	320		Divided	5	Adult	220-1,020				10
Trifluoperazine		Oral		4	Adult	1,200-3,100		Nonfatal overdose		6
Prochlorperazine		Oral	Divided	4		1,200-1,600(S)		Nonfatal overdose		6
Butyrophenone	5-10	IV		37	Adult	0.5-10			Gas chromatography Radio-immunoassay Mass spectrometry	11**
	20-200	Oral	Divided	6	Adult	5.8-245				12
	4	IV		3	Adult	20	1			13
Butaperazine	80	Oral	Divided	10	Adult	40-321			Fluorometry	14
	40	Oral	Divided	13	Adult	279 ± 70				15†
Thiothixene	15-60	Oral	Divided	15	Adult	10-22.5	2-2.5		Mass fragmentography	16
Fluphenazine	25 Enanthate	IM		4	Adult	570	6-10 Days			17
	25 Decanoate	IM								
	25 Decanoate	IM		4	Adult	120-190	14-26 Days			17
Perphenazine	100 Enanthate		Divided	3	Adult	7,400	48-72		Gas chromatography	18††

*Trihexyphenidyl reduced CPZ level by 44.7%; produced high sedation **Half-life 12.6 hr.

†Half-life 12.4 ± 2.3 hr. ††Produced extrapyramidal symptoms

to ten times higher. The tricyclic antidepressants have been studied primarily by the Scandinavian investigators. Asberg and associates,⁵ Kragh-Sorensen and associates,²⁷ and Whyte and associates⁶⁰ found poor clinical responses both at low and high plasma levels, but good improvement at intermediate levels. Their findings of nonlinear relationship were confirmed by the studies of CPZ previously mentioned.^{4,14,58,59} Further study by Garver and associates⁶¹ found that phenothiazine RBC levels may correlate with the clinical response better than does the plasma level.

Thus, research in this area is still

in its infancy. The reasons for this are many. First, it has taken medical technology a long time to develop sufficiently accurate methods to detect the miniscule amounts (nanograms per milliliter) of psychoactive drugs in the plasma. In addition, at least the following ten factors that influence the clinical response must be taken into consideration.

1. *Dosage schedule.* This is probably the factor most commonly presumed to affect the plasma level. The amount of medication necessary to obtain a desirable plasma level varies according to the frequency of administration and

the half-life of the medication. More frequent administration is obviously necessary for a drug with a half-life of four hours than one with a half-life of 12 to 24 hours. Conversely, it would be unnecessary to administer the latter more than once a day.

2. *Route of administration.* The rapidity of action varies according to the route of administration, with the intravenous route the fastest, the oral route the slowest, and the intramuscular route in between. Because of the possible complications with intravenous administration and the quick absorption into the blood with intramuscular ad-

Antidepressants

Drug	Dosage (mg)	Route	Frequency	Number of subjects	Av. subj. weight	Concentration in blood(S), ng/ml	Time after last dose (hours)	Clinical response	Reference
A. Tricyclic compounds									
Amitriptyline	55-75	Oral	Divided	3	56 kg	74-106	6		19
	3,000-5,000	Oral		4	70 kg	3,000-15,000		Death	7
Desipramine	82	Oral	Divided	8	68 kg	21-64	7		20
		Oral		1	50 kg	3,000(B)		Death	21
Imipramine	215	Oral	Divided	60	Adult	200 ± 137	8		22
	150	Oral	Divided	10	74 kg	8-105	7		23
	625	Oral		1	Adult	5,000(B)		Death	24
Doxepin	3,000	Oral		1	50 kg	9,000		Death	21
Protriptyline	40	Oral	Divided	28	Adult	400-1,430 N Mol/liter	12		25*
Nortriptyline	75-225	Oral	Divided	29	Adult	33-164	13		26†
	150	Oral	Divided	30	Adult	48-238 (av. 175)	4 Weeks		27
B. Monoamine oxidase inhibitor									
Ethchlorvynol	500	Oral	Single	8		6,500(S)	1		28
						2,000(S)	6		
	5 gm	Oral		1		85,000(B)	4	Death	29

*630-900 N Mol/liter effective level
†50-139 ng effective level

Neuroleptics

ministration, the latter is generally preferred. Oral administration is the slowest and least reliable method because of several factors: interference from the gastric juices; the food content; the concomitant use of other medications; the variability of absorption from the small and large intestines; the metabolism in the liver; and excretory processes in the kidneys. For example, the combination of magnesium and aluminum hydroxide interferes with absorption of chlordiazepoxide.¹⁵ The importance of absorption, metabolism, distribution, and excretion in relation to the plasma level and the clinical response was emphasized at the 1977 Annual Meeting of the American Psychiatric Association, where an entire scientific section was devoted to this subject, under the term "pharmacokinetics."

3. *Optimal therapeutic level.* Studies by Curry and associates,⁵⁸ Rivera-Calimlim and associates,⁵⁹ and Garver and associates⁶¹ on

plasma CPZ levels illustrate this factor. If the optimal therapeutic plasma level was reduced by 50%, the symptoms would return. More research is needed in this area.

4. *Paradoxical phenomenon.* Paradoxical reaction must also be considered. Methylphenidate, a stimulant, is well known to have the opposite (calming) effect when given at a much higher dosage than used to stimulate—as evidenced in its use as a treatment for hyperkinetic children. Alcohol and amphetamines are other good examples of this phenomenon.

5. *Cumulative effect.* Because of the possible cumulative effect, a lower dosage may be required to maintain the blood level once the effective plasma level has been established. Hence, determination of plasma drug levels is essential, just as in the case of lithium-carbonate maintenance therapy for patients suffering from mania.

6. *Side effects.* Sometimes a psychoactive drug may be highly ef-

fective, but the accompanying side effects are such that the patient is not able to tolerate them. Although there are medications that may help to control these side effects, they may produce other side effects or cause drug interactions. The use of antiparkinsonian drugs for treatment of extrapyramidal symptoms is such an example.

7. *Drug interaction.* This is a well known fact. For instance, barbiturates reduce the plasma level of chlorpromazine, and trihexyphenidyl reduces the plasma CPZ level by as much as 44.7%.⁵⁹

8. *Age.* With the same dosage, the blood-plasma levels of psychoactive drugs are higher in older people. This may be due to the fact that drug metabolism in the liver is lower in this age group.

9. *Blood-brain barrier.* This factor is especially important in determining the effectiveness of psychoactive drugs because the seat of mental illness is the brain. Therefore, any medication that is to af-

Antianxiety Drugs

Drug	Dosage (mg)	Route	Frequency	Number of subjects	Avg. subj. weight	Concentration in blood(S), ng/ml	Time after last dose (hours)	Clinical response	Reference
Diazepam	10	Oral	Daily	5	76 kg	225-84			30
	30	Oral	Daily	8	Adult	700-1,500(P)			31
		Oral				3,200-14,000		Comatose	32
Chlordiazepoxide	30	Oral	Single	3	Adult	500-1,600			33
	300-500	Oral		3	Adult	3,000-25,000		Comatose	2
Meprobamate	1,600	Oral	2x800 mg in 1.5 hours	5	80 kg	1,860-2,660	2	Sedated	34
	16-40 gm	Oral		12		142,000-346,000	7-26	Death	35
Oxazepam	45	Oral	Single	8	Adult	880-1,440	2		36
	90	Oral	1		Adult	500(S)	18	Lethargic	37

fect the brain, hence the mind, must pass this barrier into the cerebrospinal fluid (CSF) in order to exert its influence. Some drugs may reach a high level in the blood circulation, but may be barred from

passing through the blood-brain barrier. Obviously such drugs would have no value in psychiatric treatment. Therefore, we believe that the CSF level is even more important than the plasma level.

The drawback, of course, is that it is not practical to obtain the CSF for drug level determination.

10. *Heredity*. Everything else being equal, sometimes the clinical results still vary among patients.

Sedatives

Drug	Dosage (mg)	Route	Frequency	Number of subjects	Av. subj. weight	Concentration in blood(B), plasma(P), serum(S), ng/ml	Time after last dose (hours)	Clinical response	Reference
Amobarbital	600	Oral	3x200 mg in 3 hours	5	75 kg	5,300-7,000	4.5	Sedated	34
		Oral		6	68 kg	4,700		Death	21
Aprobarbital	750	Oral	Single	3	68 kg	11,000-20,000	3		38
		Oral		9	Adult	50,000		Death	39
Barbital	1,500	Oral	Single	4	72 kg	19,000	2		38
		Oral		3		133,000		Death	21
Butabarbital	600	Oral	3x200 mg in 3 hours	5	76 kg	12,300	0.5	Sedated	34
		Oral		4	68 kg	58,000		Death	21
Hexobarbital	750	Oral	Single	3	75 kg	3,600	0.5	Sedated	40
Pentobarbital	600	Oral	3x200 mg in 3 hours	5	75 kg	3,000	0.5	Sedated	34
		Oral		55	72 kg	30,000		Death	21
Phenobarbital	600	Oral	3x200 mg in 3 hours	5	70 kg	18,000	0.5	Sedated	34
		Oral		5	74 kg	96,000		Death	21* 41
Primidone	500	Oral	Divided	26	Adult	6,700(P)		Antiepileptic	1
		Oral		1	68 kg	65,000		Death	7
Chloral hydrate	1,000 15-30 gm	Oral	Single	5	70-108 kg	7,600		Sedated	42
		Oral		4	54 kg	265,000	2-3	Death	7
Flurazepam	90	Oral	Single	2	82 kg	13	1		43
		Oral		1	64 kg	1,800	4-8	Death	7
Glutethimide	2,000	Oral	2x1000 mg in 1.5 hours	5	83 kg	10,200	2	Sedated	34
		Oral		11	70 kg	45,000		Death	21
Paraldehyde	10 ml	IM	Single	1	Adult	77,000	1.2		44
		Oral		4	Adult	936,000(B)		Death	45

*15,000-25,000 ng effective level

Neuroleptics

This individual variation would have to be attributable to hereditary factors that cause variations in pharmacokinetics.¹

Unfortunately, the above factors are not always remembered when a

clinician prescribes a medication.

So far, the methods of determining plasma levels of psychoactive drugs have been mostly spectrophotometry and chromatography. The radioimmunoassay has been

introduced recently, and it appears to be a more accurate method. More sophisticated methods indubitably will be developed and many more clinical laboratory and clinical investigations will ensue. It is

Stimulants

Drug	Dosage (mg)	Route	Frequency	Number of subjects	Average subject weight	Concentration in blood(B), plasma(P), serum(S), ng/ml	Time after last dose (hours)	Clinical response	Reference
Amphetamine	1,000	Oral	Divided	1	Adult	2,000-3,000		Death	46
		Oral		1	76 kg	800			38
		IV		3		4,500		Death	47
Methamphetamine	10	Oral	Single	1	72 kg	30	1 5.5	Death	48
		Oral		1	Adult	40,000			49
		IV		3	Adult	230		Death	50
Methylene-dioxyamphetamine	1,000	Oral		1	73 kg	1,800(B)		Death	51
				5	Adult	14,000		Death	52

Miscellaneous

Drug	Dosage (mg)	Route	Frequency	Number of subjects	Average subject weight	Concentration in blood(B), plasma(P), serum(S), ng/ml	Time after last dose (hours)	Clinical response	Reference
Disulfiram	500	Oral	Divided	14	Adult	2-400(B)	2-4		29
LSD	0.14	IV	Single	5	70 kg	5	1		53
						3	4		53
Methadone	15	Oral	Single	5	73 kg	50	2	Sedated	54
	100-120	Oral	Divided	5	76 kg	75	4	Maintenance	55
				6		830 1,900	2	Death	22
Lithium carbonate	1,800		3x600 mg			1.0-1.5 mEq/liter			56
Phenytoin	600	Oral	2x300 mg in .5 hr	5	75 kg	4,000	2	Sedated	34
	2,800	Oral		1	11.8 kg	112,000	70	Comatose	57* 41

*10-25 ng/ml effective level

possible that some simple methods may be developed in the future, including the use of saliva or urine, so that clinicians may use them in their daily practice, thus making psychopharmacotherapy a science, instead of mostly an art based on trial and error. □

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